

**EXHIBIT A**

**(Customer Claim)**

# STROOCK

7001 0320 0005 2739 6159

**Via Certified Mail**  
**Return Receipt Requested**

June 23, 2009

Danielle Alfonzo Walsman  
Direct Dial 212-806-5607  
Direct Fax 212-806-2607  
dwalsman@stroock.com

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment  
Securities LLC  
Claims Processing Center  
2100 McKinney Avenue, Suite 800  
Dallas, TX 75201

Re: Jonathan Roth Acct Nos. 1-R0050 and 1-R0157

Dear Mr. Picard:

We are counsel to Jonathan Roth. Please see the attached Customer Claim forms with attachments for Account Nos. 1-R0050 and 1-R0157.

If you have any questions, please feel free to call me.

Very truly yours,



Danielle Alfonzo Walsman

Enclosures

CUSTOMER CLAIM

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

(Please print or type)

Name of Customer: Jonathan Roth  
Mailing Address: 70-31 108th Street, Apt 7D  
City: Forest Hills State: NY Zip: 11375  
Account No.: 1R 0050  
Taxpayer I.D. Number (Social Security No.): 059-38-9156

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

\*\*\*\*\*

1. Claim for money balances as of December 11, 2008:

- a. The Broker owes me a Credit (Cr.) Balance of \$ 0
- b. I owe the Broker a Debit (Dr.) Balance of \$ 0
- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, it must be enclosed  
with this claim form. \$ \_\_\_\_\_
- d. If balance is zero, insert "None." None

2. Claim for securities as of December 11, 2008:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

- |   | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| a. The Broker owes me securities        | <u>✓</u>   |           |
| b. I owe the Broker securities          |            | <u>✓</u>  |
| c. If yes to either, please list below: |            |           |

		Number of Shares or Face Amount of Bonds	
Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
See attached statement dated 11/30/08		\$8,945,850.00	

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

- |   | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| 3. Has there been any change in your account since December 11, 2008? If so, please explain.  | _____      | _____ ✓   |
| 4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?   | _____      | _____ ✓   |
| 5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____      | _____ ✓   |
| 6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)    | _____      | _____ ✓   |
| 7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.                         | _____      | _____ ✓   |
| 8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.                   | _____      | _____ ✓   |
| 9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? If so, give name of that broker.  | _____      | _____ ✓   |

Please list the full name and address of anyone assisting you in the preparation of this claim form: Stroock & Stroock & Lavan LLP,  
180 Maiden Lane, New York, NY 10038  
Attn: Danielle Alfonzo Walsman

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 06/18/09 Signature Jonathan David Pott  
Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 250-2424  
800 334-1343  
Fax (212) 838-4061

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

JONATHAN ROTH

70-31 108TH STREET APT YD  
FOREST HILLS NY 11375

11/30/03 1 2  
I-R0050-3-D \*\*\*\*\*9156

DATE	QUANTITY	SECO	DESCRIPTION	UNIT	PRICE	AMOUNT	AMOUNT	AMOUNT
11/03			BALANCE FORWARD			7,123,701.02		
11/03			DEBIT MARKET INTEREST				15,138.60	
11/21	25,000	78344	BARRICK GOLD CORP	20.890		522,250.00		
			NEW BALANCE			7,617,089.02		
			SECURITY POSITIONS					
	20,000		APPLE INC	92.670				
	25,000		BARRICK GOLD CORP	20.890				
	35,000		DEVON ENERGY CORP	12.340				
	84,000		MILLIPRE CORP	50.560				
	25,500		WYNN RESORTS LTD	39.820				
			MARKET VALUE OF SECURITIES					
			LONG					
			SHORT					
			8,945,850.00					

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**JONATHAN ROTH**

70-31 108TH STREET APT 7B  
FOREST HILLS  
NY 11375

· 385 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

**Madoff Securities International Limited**  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

1-R0050-3-0  
11/30/08  
2  
\*\*\*\*\*3756  
AT&T WORLDWIDE COMMUNICATIONS INTERNATIONAL  
0

DATE	BOUGHT PAID FOR ON LOAN	SOLD PAID FOR ON LOAN	TIN	DESCRIPTION	PRICE OR QUANTITY	AMOUNT PAID TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
YEAR-TO-DATE SUMMARY							
				DIVIDENDS			20,200.00
				MARGIN INTEREST		177,194.40	
				GROSS PROCEEDS FROM SALES			8,161,230.00

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

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New York ☐ London

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Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8BT  
Tel 020 7493 6222

JONATHAN ROTH:

70-31 108TH STREET APT 7D  
FOREST HILLS NY 11375

11/30/08 1 Q  
1-R0050-7-0 \*\*\*\*\*9156

DATE	BOUGHT RECEIVED ON LONG	SELL DELIVERED ON SHORT	QTY	DESCRIPTION	PRICE OR YIELD	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD			12,368,025.00
				NEW BALANCE			12,368,025.00
				SECURITY POSITIONS			
	20,000			APPLE INC	MKT PRICE 92.670		
	15,000			DEVON ENERGY CORP NEW	72.340		
	84,000			MILLIPORE CORP	50.660		
	25,500			HYNN RESORTS LTD	39.820		
				MARKET VALUE OF SECURITIES			
				LONG			
				SHORT			
				8,209,350.00			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES



CUSTOMER CLAIM

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

(Please print or type)

Name of Customer: Jonathan Roth  
Mailing Address: 70-31 108th Street, Apt 7D  
City: Forest Hills State: NY Zip: 11375  
Account No.: 4-R0157  
Taxpayer I.D. Number (Social Security No.): 059-38-9156

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- b. I owe the Broker a Debit (Dr.) Balance of \$ 0
- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, it must be enclosed  
with this claim form. \$ \_\_\_\_\_
- d. If balance is zero, insert "None." None

2. Claim for securities as of December 11, 2008:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

	<u>YES</u>	<u>NO</u>
a. The Broker owes me securities	<u>✓</u>	
b. I owe the Broker securities		<u>✓</u>
c. If yes to either, please list below:		

Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
<u>See attached account statement dated 11/30/08</u>		<u>\$1,589,156.32</u>	
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

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- |   | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| 3. Has there been any change in your account since December 11, 2008? If so, please explain.  | _____      | _____✓    |
| 4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?   | _____      | _____✓    |
| 5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____      | _____✓    |
| 6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)    | _____      | _____✓    |
| 7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.                         | _____      | _____✓    |
| 8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.                   | _____      | _____✓    |
| 9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? If so, give name of that broker.  | _____      | _____✓    |

Please list the full name and address of anyone assisting you in the preparation of this claim form: Strock & Strock & Lavan LLP  
180 Maiden Lane, New York, NY 10038  
Attn: Danielle Alfonso Walsman

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Date 06/18/09 Signature Jonathan D. David Roth  
Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

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Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
JONATHAN ROTH

385 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

11/30/08  
\*\*\*\*\*6253

70-31 108TH STREET APT 7D  
FOREST HILLS NY 11375

DATE	BOUGHT RECEIVED	PAID RECEIVED	TIN	DESCRIPTION	PRICE PER SHARE	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	1,386			BALANCE FORWARD		91,709.32	
11/12	1,386			WELLS FARGO & CO NEW	29.800	41,357.80	
11/12	1,386			HERBERT PACKARD CO	24.900	34,590.00	
11/12	558		4555	WAL-MART STORES INC	55.830	47,936.14	
11/12	561		5057	INTERNATIONAL BUSINESS MACHS	87.270	48,980.47	
11/12	2,079		8881	EXXON MOBIL CORP	72.880	151,600.52	
11/12	2,277		9383	INTEL CORP	14.510	33,130.27	
11/12	1,039		13709	JOHNSON & JOHNSON	59.580	64,925.62	
11/12	1,485		18034	J.P. MORGAN CHASE & CO	38.530	57,276.05	
11/12	1,792		22360	CDEN COLA CO	44.660	35,401.72	
11/12	482		26686	MCDONALDS CORP	55.570	25,598.94	
11/12	858		31012	MERCK & CO	28.550	24,529.90	
11/12	3,125		35338	MICROSOFT CORP	21.810	58,499.35	
11/12	1,384		39564	ORACLE CORPORATION	17.300	27,466.20	
11/12	327		52642	PEPSICO INC	56.410	35,394.07	
11/12	363		53144	APPLE INC	100.780	36,597.14	
11/12	2,673		56968	PEYER INC	16.940	45,386.62	
11/12	627		57670	ABBOTT LABORATORIES	54.810	34,265.47	
11/12	1,188		561294	PROCTER & GAMBLE CO	54.080	76,174.04	
11/12	429		61796	AMGEN INC	59.160	25,396.64	
11/12	825		65620	PHILLIP MORRIS INTERNATIONAL	43.600	36,003.00	
11/12	1,880		66122	BANK OF AMERICA	21.590	42,827.20	
11/12	660		69946	QUALCOMM INC	33.770	22,314.20	
11/12	2,145		70448	CITI GROUP INC	12.510	26,918.95	
11/12				CONTINUED ON PAGE 2			

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385 Third Avenue  
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Fax (212) 832-4061

BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York □ London

\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
JONATHAN ROTH

70-31 108TH STREET APT 7D  
FOREST HILLS NY 11375

1-R0157-3-0  
11/30/08  
2  
\*\*\*\*\*6283

DATE	QUANTITY RECEIVED	BOLDO CREDITED	TIN	DESCRIPTION	PRICE OR YIELD	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	495		74272	SCHLUMBERGER LTD	49.480	24,511.60	
11/12	1,188		74174	CONCAST CORP	16.510	19,660.88	
11/12	2,343		78598	AT&T INC	27	63,354.00	
11/12	594		79100	CONDOPHILIPS	52.510	31,213.94	
11/12	396		82924	UNITED PARCEL SVC INC	52.040	20,622.84	
11/12	2,409		83426	CISCO SYSTEMS INC	16.730	40,398.57	
11/12	693		87250	U.S. BANCORP	29.530	20,491.29	
11/12	825		87152	CHEVRON CORP	73.430	60,612.75	
11/12	396		91576	UNITED TECHNOLOGIES CORP	53.160	21,066.36	
11/12	4,191		92078	GENERAL ELECTRIC CO	19.630	82,436.33	
11/12	1,122		95902	VERIZON COMMUNICATIONS	30.410	34,164.02	
11/12	99		96404	GOOGLE	337.400	33,405.60	
11/12	3,475,000		22839	U.S. TREASURY BILL DUE 2/12/2009	99.936	1,474,056.00	
11/12				FIDELITY SPARTAN U.S. TREASURY MONEY MARKET DEM 11/12/08	DIV	78.69	
11/12	63,344		17939	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET DEM 11/12/08	1	63,344.00	
11/12	36,304		27308	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET DEM 11/12/08	1	36,304.00	
11/19				FIDELITY SPARTAN U.S. TREASURY MONEY MARKET DIV 11/19/08	DIV	4.46	
				CONTINUED ON PAGE 3			



**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

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Affiliated with  
Maddoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 8222

PERIOD ENDING  
11/30/08

YOUR TAXPAYER IDENTIFICATION NUMBER  
\*\*\*\*\*6253

YOUR ACCOUNT NUMBER  
1-R0157-3-0

PAGE  
3

DATE	BOUGHT RECEIVED	QUANTITY DELIVERED	PRICE	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		36,304	52776	FIDELITY SPARTAN	1		35,304.00
11/19	125,000		57317	U.S. TREASURY MONEY MARKET U.S. TREASURY BILL DUE 03/26/2009	99.926	124,907.50	
11/19	10,269		61814	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1	10,269.00	
				NEW BALANCE		183,911.16	
	2,343			SECURITY POSITIONS	MKT PRICE		
	627			ABBOTT LABORATORIES	28.560		
	429			AMGEN INC	52.390		
	365			APPLE INC	55.540		
	1,980			BANK OF AMERICA	92.670		
	825			CHEVRON CORP	16.250		
	2,409			CISCO SYSTEMS INC	79.010		
	2,145			CDI GROUP INC	16.540		
	792			COCA-COLA CO	8.290		
	1,188			COMCAST CORP	46.870		
				CL A	17.340		
	594			CONOCOPHELPS	52.520		
	2,079			EXXON MOBIL CORP	80.150		
	4,191			GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE 6			

MADE  
BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York □ London

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New York, NY 10022  
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FOREST HILLS NY 11375

MADE  
11/30/08  
YOUR TAX PAYER IDENTIFICATION NUMBER  
1-R0157-3-0  
\*\*\*\*\*6253  
PAGE 4

DATE	BOUGHT PRICE	SOLD PRICE	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	99			GOOGLE	292.960		
	990			HEWLETT PACKARD CO	35.280		
	2,277			INTEL CORP	13.800		
	561			INTERNATIONAL BUSINESS MACHS	81.600		
	1,485			J.P. MORGAN CHASE & CO	31.660		
	1,089			JOHNSON & JOHNSON	58.580		
	662			MCDONALDS CORP	58.750		
	858			MERCK & CO	26.720		
	3,135			MICROSOFT CORP	20.220		
	1,584			ORACLE CORPORATION	16.090		
	627			PEPSICO INC	36.700		
	2,573			PRIZER INC	16.430		
	825			PHILLIP MORRIS INTERNATIONAL	42.160		
	1,188			PROCTER & GAMBLE CO	64.350		
	660			QUALCOMM INC	33.570		
	495			SCHLUMBERGER LTD	56.740		
	10,269			FIDELITY SPARTAN	1		
	693			U S TREASURY MONEY MARKET	26.980		
	396			U S BANK CORP	57.600		
	125,000			CLASS B	99.971		
	396			U S TREASURY BILL DUE 03/26/2009	48.530		
				UNITED PARCEL SVE INC			
				UNITED TECHNOLOGIES CORP			
				CONTINUED ON PAGE 5			

Associated with  
Maddoff Securities International Limited  
12 Berkeley Street  
Mayfair, London, W1J 8DT  
Tel 020 7493 6222

835 Third Avenue  
New York, NY 10022  
(212) 250-2424  
800 334-1343  
Fax (212) 838-4061

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
JONATHAN ROTH

70-31 108TH STREET APT 7D  
FOREST HILLS NY 11375

PERIOD DURING  
11/30/08

YOUR ACCOUNT NUMBER  
1-R0157-3-0

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YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*6253

DATE	BOUGHT ACQUIRED	SOLD OR TRANSFERRED	SYMBOL	DESCRIPTION	PRICE OR YIELD	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	1-122			VERIZON COMMUNICATIONS	32.650		
	858			WAL-MART STORES INC	55.880		
	1-386			WELLS FARGO & CO NEW	28.690		
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**Maddoff Securities International Limited**  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
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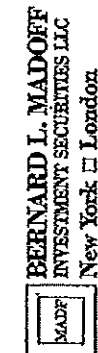
**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

\*\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
JONATHAN ROTH

70-31 108TH STREET APT. 7D  
FOREST HILLS NY 11375

1-R0157-3-0  
11/30/08  
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\*\*\*\*\*6253  
YOUR TAX PAYER IDENTIFICATION NUMBER:

DATE	QUANTITY RECEIVED	SOLD QUANTITY	TIN	DESCRIPTION	PRICE OR RENTAL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
YEAR-TO-DATE SUMMARY							
				DIVIDENDS		10,467.09	
				GROSS PROCEEDS FROM SALES		8,729,442.92	



BERNARD L. MADOFF  
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New York, NY  
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Mayfair, London W1J 8DT  
Tel 020 7498 6222

PERIOD ENDING  
11/30/08  
PAGE  
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YOUR ACCOUNT NUMBER  
1-R0157-4-0  
YOUR VASTATED-CERTIFICATION NUMBER  
\*\*\*\*\*6253

DATE	QUANTITY RECEIVED	QUANTITY SENT	TIN	DESCRIPTION	PRICE ONLY/AMOUNT	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD			91,710.00
11/12	33	33	43990	S & P 100 INDEX NOVEMBER 430 CALL	15.800		52,107.00
11/12	33		46316	S & P 100 INDEX	17.800	58,773.00	
11/19	33	33	34420	NOVEMBER 450 PUT S & P 100 INDEX	26		85,767.00
11/19	33		38745	DECEMBER 430 CALL S & P 100 INDEX	30	99,033.00	
11/19	33	33	43070	DECEMBER 420 PUT S & P 100 INDEX	3	9,933.00	
11/19	33	33	47395	NOVEMBER 460 CALL S & P 100 INDEX	37		122,067.00
				NEW BALANCE			183,912.00
				SECURITY POSITIONS			
	33	33		S & P 100 INDEX DECEMBER 430 CALL	MKT PRICE 23.300		
	33			S & P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES			
				LONG			54,450.00
				SHORT			76,890.00